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PATENT APPLICATION

ATTORNEY DOCKET NO. 10010875-6

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

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NOV 12 2003

Inventor(s): Kim et al.

Serial No.: 10/620137

Examiner: Unassigned

Filing Date: 07/14/2003

Group Art Unit: 2828

Title: Moisture Passivated Planar Index-guided VCSEL

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COMMISSIONER FOR PATENTS
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- (X) under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- () under 37 CFR 1.97(c) together with either a:
() Statement under 37 CFR 1.97(e), or
() a \$180.00 Processing fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- () under 37 CFR 1.97 (d) together with a:
() Statement under 37 CFR 1.97(e), and
() a \$180.00 processing fee under 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account 50-1078 the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 50-1078 pursuant to 37 CFR 1.25.

() Applicant(s) submit herewith Form PTO 1449. References identified with an asterisk (*) were disclosed in Patent Application No. _____ filed _____, now U. S. Patent No. _____, and, as such, copies thereof are not included pursuant to the provisions of 37 CFR 1.98(d).

() A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office on:

Date of facsimile: Nov. 12, 2003

Typed Name: Katherine Lopez Dangson

Signature: 

Respectfully submitted,

Kim et al.

By: 

Pamela Lau Kee

Attorney/Agent for Applicant(s)
Reg. No. 36,184

Date: Nov. 12, 2003

Rev05/03 BDF/FAJ

Sheet 1 of 1

FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 10010875-5		SERIAL NO. 10/620,137
	APPLICANT Kim, et al.		
	FILING DATE 07/14/2003	GROUP 2828	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	*	DOCUMENT NUMBER	DATE	NAME
		5,978,408	Nov. 2, 1999	Thornton
		5,896,408	Apr. 20, 1999	Corzine et al.
		5,719,893	Feb. 17, 1998	Jiang, et al.
		6,208,681	March 27, 2001	Thornton
		5,851,849	Dec. 22, 1998	Comizzoli, et al.

FOREIGN PATENT DOCUMENTS

[illegible]

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

US2001038659, Pub date: Nov. 8, 2001, Kasukawa, et al.	
EXAMINER	DATE CONSIDERED

* Copies of these references are not enclosed pursuant to 37 CFR 1.98(d). (See accompanying IDS)